

# **Substructural Logics**

## **Algebraic view of logical properties**

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We talk about:

- Algebraic approach to cut elimination
- Interpolation properties and Amalgamation properties

# Cut elimination

- Gentzen's original proof gives a procedure how to eliminate each application of cut rule in a given proof. It needs double induction.
- Each application of cut rule can be eliminated, depending on where and how it appears.
- It should be noted that cut rule cannot be replaced by a (uniform) combination of applications of other rules.

Syntactic proofs of cut elimination are quite informative, as they analyze structures of proofs directly.

# Semantical cut elimination

## Semantical proofs of cut elimination theorem

- K. Schütte, 1960 — semi valuation,
- J.-Y. Girard — three-valued semantics,
- M. Fitting, 1973 — consistency property for constructing Kripke models.

# Algebraic cut elimination

- Several attempts have been made to show cut elimination in an algebraic way, e.g. Maehara (1991), Okada (1996) and Jipsen-Tsinakis (2002).
- Our proof in (BJO) is **purely algebraic**, inspired by these works.
- Sometimes algebraic proofs will be more flexible and can provide a wider view.

(BJO) *F. Belardinelli, P. Jipsen and H. Ono, Algebraic aspects of cut elimination, Studia Logica 77 (2004), 209-240.*

# Why algebraic proofs?

- to clarify meaning of cut elimination from algebraic point of view,
- to give a proof of cut elimination comprehensible for algebraists, avoiding heavy syntactic arguments.

# Algebraic cut elimination

Algebraic proof of cut elimination by BJO.

- For each sequent system  $\mathcal{S}_L$  of a logic  $\mathbf{L}$ , partial structures, called **Gentzen matrices** for  $\mathcal{S}_L$  *without cut*, are introduced.
- It is shown that each Gentzen matrix  $\mathbf{Q}$  for  $\mathcal{S}_L$  is **quasi-embeddable** into a complete algebra  $\mathbf{B}$  for  $\mathbf{L}$ , called a **quasi completion** of  $\mathbf{Q}$ .

# Cut elimination for $\mathbf{FL}_{ew}$

We will give an algebraic proof of cut elimination for  $\mathbf{FL}_{ew}$ , i.e.,  $\mathbf{FL}$  with both exchange and weakening rules.

Cut elimination for  $\mathbf{FL}_{ew}$  says:

- If a sequent  $\Gamma \Rightarrow \delta$  is provable in  $\mathbf{FL}_{ew}$  then it is provable in  $\mathbf{FL}_{ew}$  without using cut rule.

Recall that cut rule is:

$$\frac{\Gamma \Rightarrow \alpha \quad \alpha, \Sigma \Rightarrow \delta}{\Gamma, \Sigma \Rightarrow \delta}$$

# $\mathbf{FL}_{ew}$ -algebras

An algebra  $\mathbf{A} = \langle A; \wedge, \vee, \cdot, \rightarrow, 1, 0 \rangle$  is an  $\mathbf{FL}_{ew}$ -algebra iff

- $\langle A; \wedge, \vee, 1, 0 \rangle$  is a bounded lattice,
- $\langle A; \cdot, 1 \rangle$  is a commutative monoid with the unit 1,
- $x \cdot y \leq z$  iff  $x \leq (y \rightarrow z)$  (the law of residuation)

Hence, any  $\mathbf{FL}_{ew}$ -algebra is a commutative  $\mathbf{FL}$ -algebra such that the unit element 1 is the greatest element and 0 is the least, respectively.

# $\mathbf{FL}_{ew}$ -algebras: completeness

A sequent  $\alpha, \beta, \dots, \gamma \Rightarrow \delta$  is **valid** in an  $\mathbf{FL}_{ew}$ -algebra  $\mathbf{A}$  iff

$$\mathbf{A} \models \alpha \cdot \beta \cdots \gamma \leq \delta,$$

i.e.

$h(\alpha) \cdot h(\beta) \cdots h(\gamma) \leq h(\delta)$  holds in  $\mathbf{A}$  for any assignment  $h$ .

Completeness of  $\mathbf{FL}_{ew}$  with respect to  $\mathbf{FL}_{ew}$ -algebras.

*A sequent  $\alpha, \beta, \dots, \gamma \Rightarrow \delta$  is provable in  $\mathbf{FL}_{ew}$  iff it is valid in every  $\mathbf{FL}_{ew}$ -algebra.*

# Some observations

- Without cut rule, "commas" in sequents cannot be replaced by fusions  $\cdot$ .
- Cut rule preserves the validity in every  $\mathbf{FL}_{ew}$ -algebra, because the following holds in it.

$$x \leq a \text{ and } a \cdot y \leq z \text{ imply } x \cdot y \leq z,$$

which is equivalent to the following two:

- $\leq$  is transitive,
- $a \leq b$  implies  $a \cdot y \leq b \cdot y$ .

A **Gentzen matrix** for  $\mathbf{FL}_{ew}$  is a relational structure for  $\mathbf{FL}_{ew}$  without cut.

# Gentzen matrices

For a nonempty set  $B$ ,

$B^*$  is the set of all multisets of members of  $B$ ,

which forms a commutative monoid with respect to the multiset union, with the unit  $\epsilon$ , i.e. the empty multiset.

Letters  $x, y, z, u, v$  ( $a, b, c, d$ ) are used for elements in  $B^*$  (in  $B \cup \{\epsilon\}$ , resp.).

$xy$  denotes the multiset union of  $x$  and  $y$ .

A **Gentzen matrix** for  $\mathbf{FL}_{ew}$  is a relational structure

$\mathbf{B} = \langle B; \wedge, \vee, \cdot, \rightarrow; \preceq \rangle$ , where  $\wedge, \vee, \cdot, \rightarrow$  are binary operations on  $B$ , and  $\preceq$  is a subset of  $B^* \times (B \cup \{\epsilon\})$  such that:

# Gentzen matrices

- $a \preceq a,$
- $x \preceq c$  implies  $dx \preceq c,$
- $x \preceq a$  and  $by \preceq c$  imply  $(a \rightarrow b)xy \preceq c,$
- $ax \preceq b$  implies  $x \preceq a \rightarrow b,$
- $ax \preceq c$  and  $bx \preceq c$  imply  $(a \vee b)x \preceq c,$
- $x \preceq a$  implies  $x \preceq a \vee b,$
- $x \preceq b$  implies  $x \preceq a \vee b,$
- $ax \preceq c$  implies  $(a \wedge b)x \preceq c,$
- $bx \preceq c$  implies  $(a \wedge b)x \preceq c$
- $x \preceq a$  and  $x \preceq b$  imply  $x \preceq a \wedge b,$
- $abx \preceq c$  implies  $(a \cdot b)x \preceq c,$
- $x \preceq a$  and  $y \preceq b$  imply  $xy \preceq a \cdot b.$

# Gentzen matrices

and also:

- $xy \preceq c$  implies  $x1y \preceq c$ ,
- $\epsilon \preceq 1$ ,
- $0 \preceq \epsilon$ ,
- $x \preceq \epsilon$  implies  $x \preceq 0$ .

Each of these conditions comes either from an initial sequent, or from a rule of inference (except cut) of  $\mathbf{FL}_{ew}$ , by replacing  $\Rightarrow$  by  $\preceq$ .

# Gentzen matrices: completeness

A sequent  $\alpha, \beta, \dots, \gamma \Rightarrow \delta$  is **valid** in a Gentzen matrix **B** for **FL<sub>ew</sub>** iff

$$\langle g(\alpha), g(\beta), \dots, g(\gamma) \rangle \preceq g(\delta)$$

holds in **B** for any assignment  $g$ .

Completeness of **FL<sub>ew</sub>** **without cut** with respect to Gentzen matrices:

*A sequent  $\alpha, \beta, \dots, \gamma \Rightarrow \delta$  is provable in **FL<sub>ew</sub>** **without cut** iff it is valid in every Gentzen matrix for **FL<sub>ew</sub>**.*

# Towards cut elimination

To show cut elimination for  $\mathbf{FL}_{ew}$ , it enough to show that

- if  $\langle g(\alpha), g(\beta), \dots, g(\gamma) \rangle \preceq g(\delta)$  fails for some  $g$  in a Gentzen matrix  $\mathbf{B}$ , then  $h(\alpha) \cdot h(\beta) \cdot \dots \cdot h(\gamma) \leq h(\delta)$  fails for some  $h$  in an  $\mathbf{FL}_{ew}$ -algebra  $\mathbf{A}$ .

How to get such an  $\mathbf{FL}_{ew}$ -algebra  $\mathbf{A}$  from a given Gentzen matrix  $\mathbf{B}$ ?

# Quasi-completions

In order to preserve the **non-validity** of a given sequent, **B** must be **embedded** somehow into the algebra **A**,

1. There is a uniform way of constructing such an **A**, which is called the **quasi-completion** of **B**.
2. In fact, **A** is a complete **FL<sub>ew</sub>**-algebra of the form **C<sub>B\*</sub>**. Here, **C** is a **nucleus** on  $\wp(B^*)$  and **C<sub>B\*</sub>** is the algebra consisting of all **C**-closed elements.
3. Furthermore, it is shown that **B** is **quasi-embedded** into **A**.

# Quasi-completions

Each  $\mathbf{FL}_{ew}$ -algebra can be regarded as a Gentzen matrix satisfying **the transitivity** if we define  $\preceq$  as follows:

$$\langle a_1, \dots, a_m \rangle \preceq b \text{ iff } (a_1 \cdot \dots \cdot a_m) \leq b.$$

When  $\mathbf{B}$  is an  $\mathbf{FL}_{ew}$ -algebra, the **quasi-completion** of  $\mathbf{B}$  (as a Gentzen matrix) is isomorphic to the **MacNeille completion** of  $\mathbf{B}$ , and the **quasi-embedding** becomes **complete embedding**.

# Cut elimination and completion

Our algebraic proof works well not only for many of standard sequent systems of **substructural logics and modal logics**. The idea can be applied also to completeness proofs of tableaux systems.

From the last observation, it follows that when our approach works for a sequent system for a logic  $\mathbf{L}$ , the corresponding variety must be **closed under the MacNeille completion**.

- Note that only three varieties of Heyting algebras are closed under the MacNeille completion.

# Finite model property

Different from modal logics, it is not easy to show the FMP of substructural logics. So, the FMP is not a useful property in proving the decidability (cf. Harrop).

In fact, the situation is a bit twisted. Combining quasi-completions with the ideas by Lafont, Okada etc. we can show that:

- *if every proof search ends in finitely many steps in a **cut-free** system for a logic **L** (and hence **L** is in fact decidable), it has the FMP.*

# Interpolation properties

This is a brief survey of my joint work (KO) with H. Kihara. Our results can be easily extended to a much wider class of logics.

(KO) *H. Kihara and H. Ono, Interpolation properties, Beth definability properties and amalgamation properties for substructural logics, 53 pages, to appear in Journal of Logic and Computation.*

Some of related literatures are:

- J. Czelakowski and D. Pigozzi, *Amalgamation and interpolation in abstract algebraic logic*, in Lecture Notes in Pure and Applied Math. 203, 1999.
- D. Gabbay and L.L. Maksimova, *Interpolation and Definability, Modal and Intuitionistic Logics*, 2005.

# Craig interpolation property

A substructural logic  $\mathbf{L}$  has the **Craig interpolation property** (CIP) if for all formulas  $\phi$  and  $\psi$ ,

if  $\vdash_{\mathbf{L}} \phi \backslash \psi$ , then there exists a formula  $\delta$  such that

- $\vdash_{\mathbf{L}} \phi \backslash \delta$  and  $\vdash_{\mathbf{L}} \delta \backslash \psi$ ,
- $Var(\delta) \subseteq Var(\phi) \cap Var(\psi)$ ,

where  $Var(\gamma)$  denotes the set of all variables in a formula  $\gamma$ .  
The formula  $\delta$  is called an **interpolant** of  $\phi \backslash \psi$ .

# Maehara's method

S. Maehara noticed that the CIP of  $\mathbf{L}$  follows from cut elimination of a sequent system for  $\mathbf{L}$ .

In fact, by using induction of the length of a **cut-free** proof of a sequent  $\Pi \Rightarrow \psi$ , the existence of an interpolant  $\delta$  is shown for any *partition*  $\langle \Gamma, \Sigma \rangle$  of  $\Pi$  satisfying that:

- both  $\Gamma \Rightarrow \delta$  and  $\delta, \Sigma \Rightarrow \psi$  are provable,
- $Var(\delta) \subseteq Var(\Gamma) \cap Var(\Sigma, \psi)$ .

# Deductive interpolation property

Another interpolation property in the deductive form:

A substructural logic  $\mathbf{L}$  has the **deductive interpolation property** (DIP), if for any set  $\Gamma$  of formulas and for any formula  $\psi$ ,

if  $\Gamma \vdash_{\mathbf{L}} \psi$ , then there exists a formula  $\delta$  such that

- $\Gamma \vdash_{\mathbf{L}} \delta$  and  $\delta \vdash_{\mathbf{L}} \psi$ ,
- $Var(\delta) \subseteq Var(\Gamma) \cap Var(\psi)$ ,

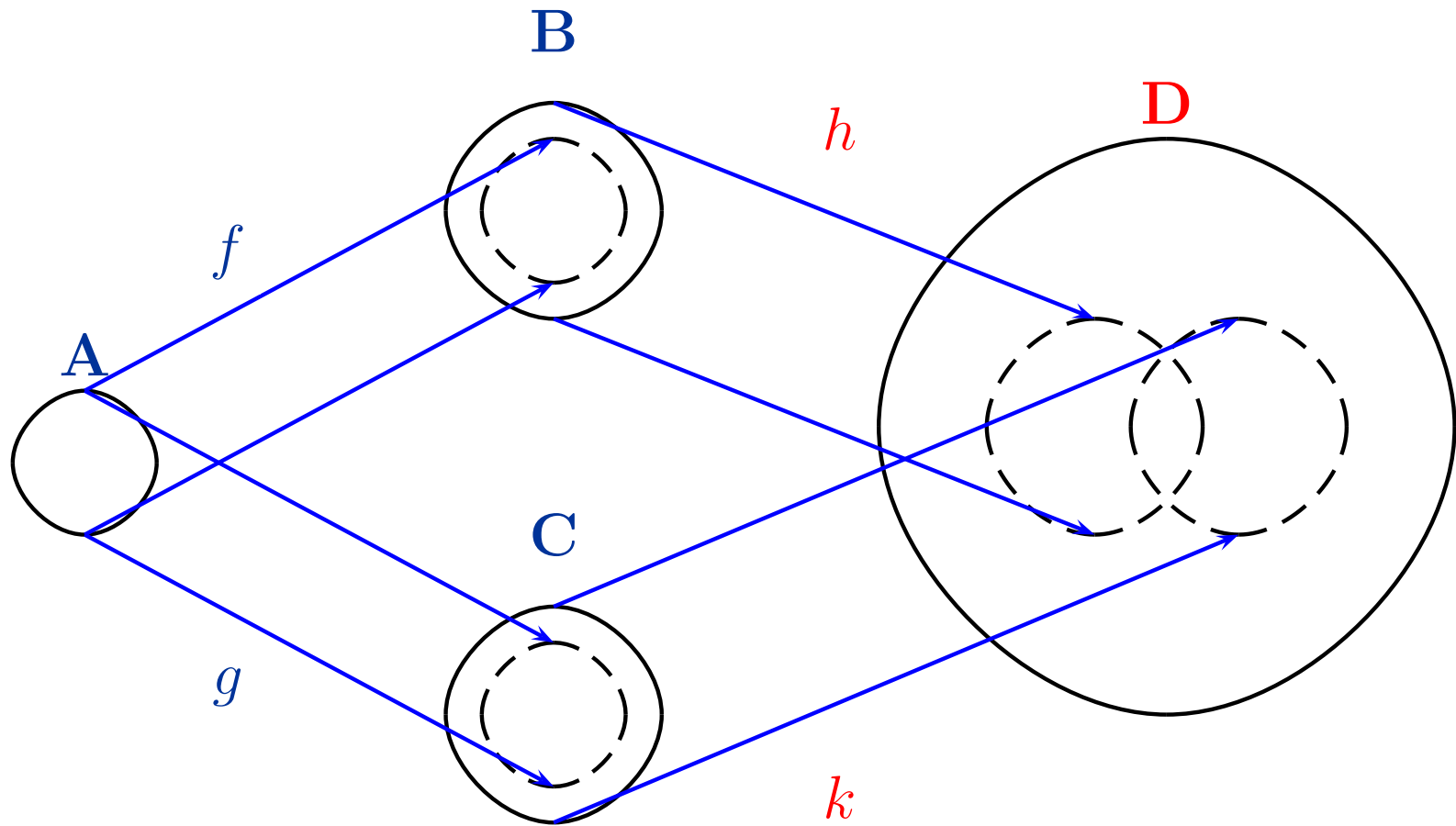
# Amalgamation property

A variety  $\mathbf{V}$  of FL-algebras has the **amalgamation property** (AP) , if for all  $\mathbf{A}, \mathbf{B}, \mathbf{C}$  in  $\mathbf{V}$  and for all embeddings  $f : \mathbf{A} \rightarrow \mathbf{B}$  and  $g : \mathbf{A} \rightarrow \mathbf{C}$

- there exist an algebra  $\mathbf{D}$  in  $\mathbf{V}$  and embeddings  $h : \mathbf{B} \rightarrow \mathbf{D}$  and  $k : \mathbf{C} \rightarrow \mathbf{D}$  such that

$$h \circ f = k \circ g.$$

# Amalgamation property



$$h \circ f = k \circ g$$

# CIP, DIP and AP

For superintuitionistic logics:

- $CIP \Leftrightarrow DIP$  by the deduction theorem,
- $CIP \Rightarrow$  **Beth definability property (BDP)** by an easy calculation,
- in fact, BDP holds for any superintuitionistic logic (G. Kreisel),
- on the other hand, only 8 logics have the CIP (L.L. Maksimova).

# Maksimova's results

To obtain her celebrated result, Maksimova used the following for superintuitionistic logics.

•  $\text{CIP} \Leftrightarrow \text{superAP} \Leftrightarrow \text{AP}$ .

Here, the **superAP** means **AP** with

for any  $b \in B$  and any  $c \in C$ , if  $h(b) \leq k(c)$  then there exists  $a \in A$  such that  $h(b) \leq hf(a) = kg(a) \leq k(c)$ .

Also, the **strongAP** is defined to be the **AP** with

$$h(B) \cap k(C) = hf(A).$$

In general,

● **superAP**  $\Rightarrow$  **strongAP**  $\Rightarrow$  **AP**.

# Robinson property

A substructural logic  $\mathbf{L}$  has the Robinson property (RP), if the following holds:

Let  $X, Y$  and  $Z$  are sets of variables such that  $X = Y \cap Z$ , and let  $Var(\Gamma) \subseteq Y$  and  $Var(\Sigma) \subseteq Z$ . Moreover, suppose that for each  $\alpha$  such that  $Var(\alpha) \subseteq X$

•  $\Gamma \vdash_{\mathbf{L}} \alpha$  iff  $\Sigma \vdash_{\mathbf{L}} \alpha$ .

Then, for any formula  $\psi$  such that  $Var(\psi) \subseteq Z$ ,

•  $\Gamma, \Sigma \vdash_{\mathbf{L}} \psi$  implies  $\Sigma \vdash_{\mathbf{L}} \psi$ .

# How these properties are related?

*RP* for a logic  $\mathbf{L}$  is equivalent to *AP* for the corresponding variety  $V(\mathbf{L})$ . (H.O. 1986)

For logics over  $\mathbf{FL}_e$  (in fact, by local deduction theorem);

- *CIP* implies *DIP*,
- *DIP* is equivalent to *RP*,
- Hence, *CIP* for a logic  $\mathbf{L}$  implies *AP* for  $V(\mathbf{L})$ .

# IPs for logics over $FL_e$

- By the local deduction theorem for  $FL_e$

SCIP  $\Leftrightarrow$  sup. RP  $\Leftrightarrow$  CIP



SDIP  $\Leftrightarrow$  RP  $\Leftrightarrow$  DIP

# IPs for logics over FL

- Parameterized local deduction theorem for FL is not strong enough to derive the DIP from the CIP. In fact,

SCIP  $\Rightarrow$  sup. RP  $\Rightarrow$  CIP

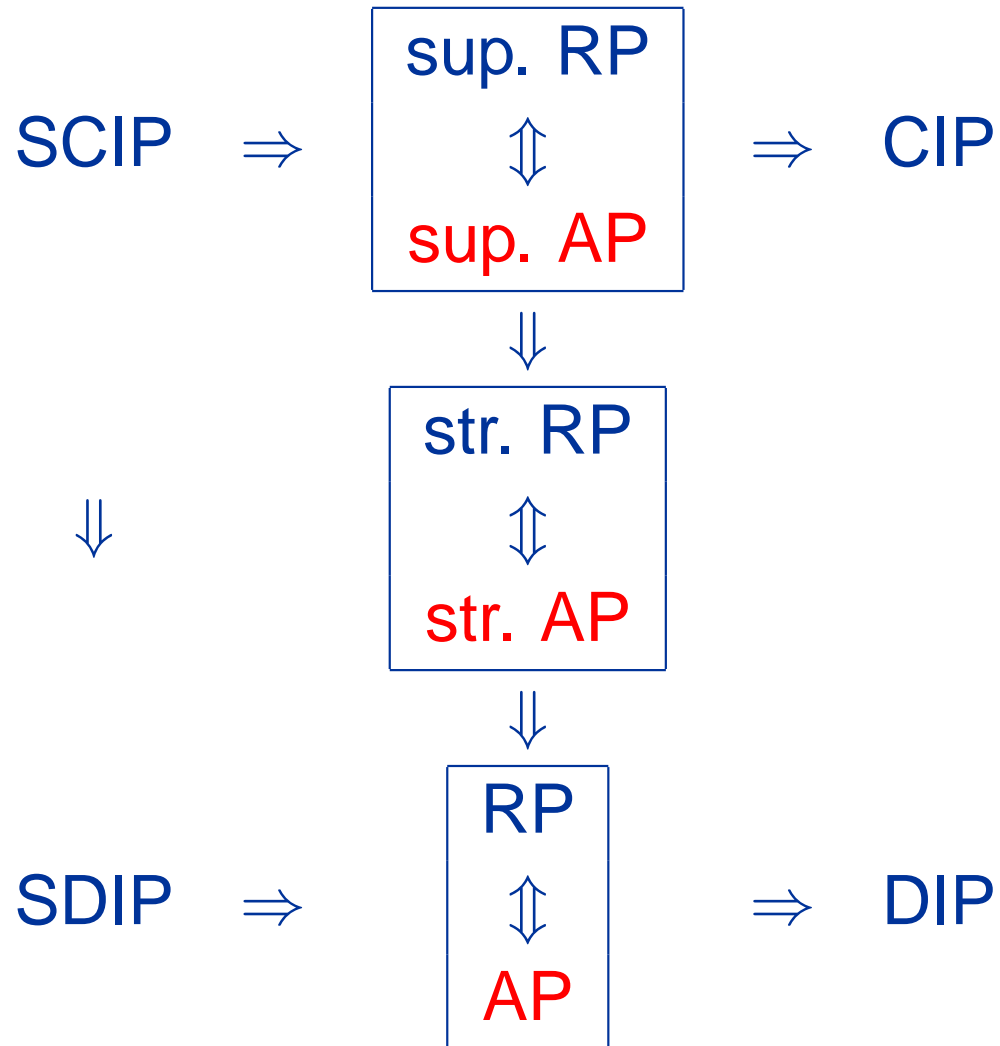


str. RP

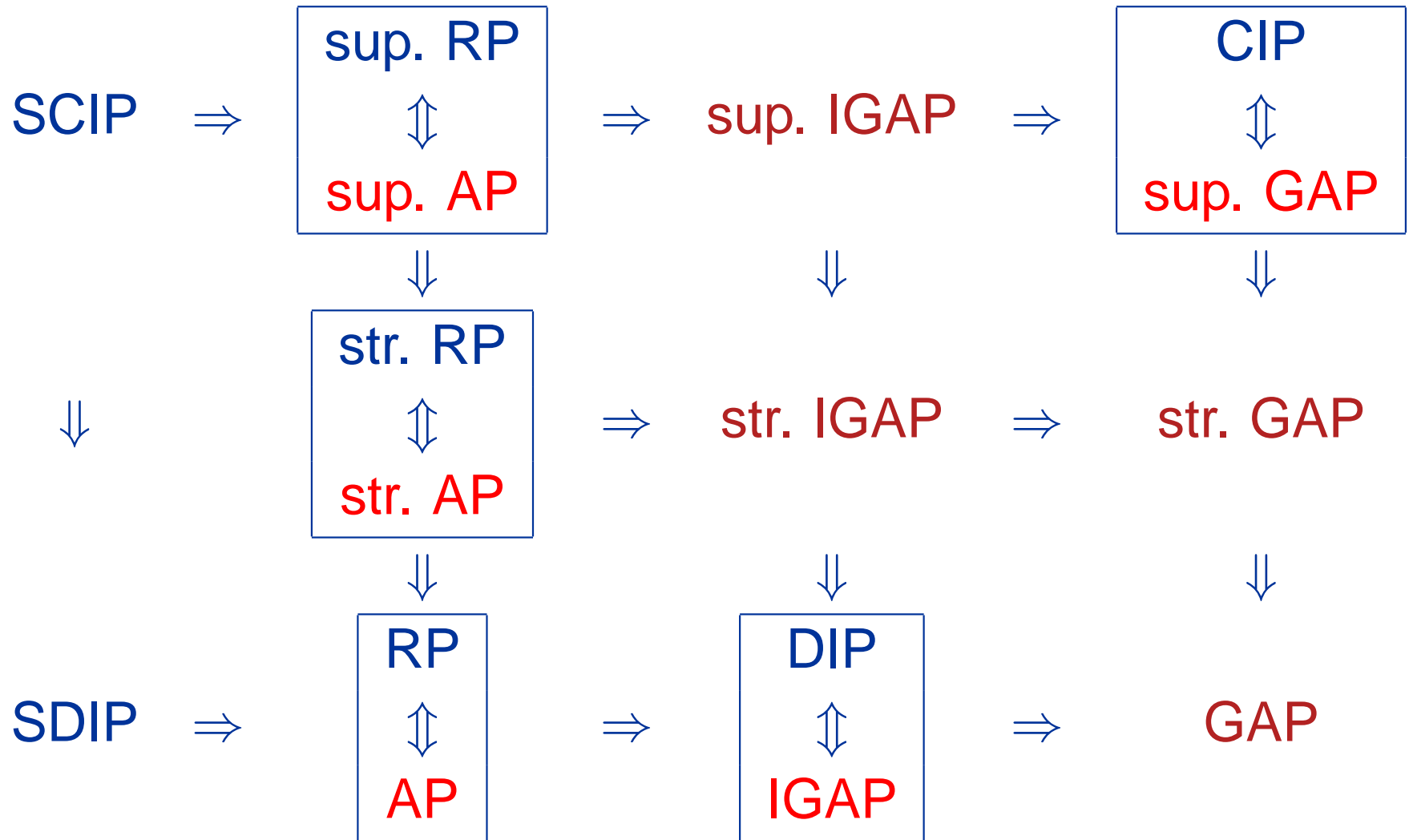


SDIP  $\Rightarrow$  RP  $\Rightarrow$  DIP

# Algebraic characterizations



# Further algebraic characterizations



# Further development of Algebraic Logic

- We have shown that there are be close connections between *proof-theoretic* methods and *algebraic* methods.
- These connections will be shown not only for substructural logics but also for wider class of logics. Thus, *algebraic logic* can offer a suitable framework for discussing them.
- Much closer linkages between *logic* and *algebra* will be discovered in future, which surely lead us deeper understanding of both logic and algebra.

# Appendices

# Nuclei

A commutative monoid  $\mathbf{M} = \langle M, \cdot, 1 \rangle$  is given. A unary function  $C$  on  $\wp(M)$  is a **nucleus** if for all  $X, Y \in \wp(M)$ ;

1.  $X \subseteq C(X)$ ,
2.  $CC(X) \subseteq C(X)$ ,
3.  $X \subseteq Y$  implies  $C(X) \subseteq C(Y)$ ,
4.  $C(X) * C(Y) \subseteq C(X * Y)$ .

Here,  $W * Z = \{wz : w \in W, z \in Z\}$ .

# Nuclei as closure operators

Let  $C$  be a nucleus on  $\wp(M)$ . A subset  $X$  of  $M$  is  $C$ -closed if  $C(X) = X$ . Let  $C(\wp(M))$  be the set of all  $C$ -closed subsets. Define operations  $\cup_C$ ,  $*_C$  and  $\Rightarrow$  on  $C(\wp(M))$  as follows: For all  $C$ -closed sets  $X$  and  $Y$ ,

- $X \cup_C Y = C(X \cup Y)$ ,
- $X *_C Y = C(X * Y)$ ,
- $X \Rightarrow Y = \{z : X * \{z\} \subseteq Y\}$ .

Then, we have the following:

$\mathbf{C}_M = \langle C(\wp(M)); \cap, \cup_C, *_C, \Rightarrow, C(\{1\}), C(\emptyset) \rangle$  is an  $\mathbf{FL}_e$ -algebra, not necessarily *integral*.

# Details of quasi-completions

Let  $\mathbf{B}$  be a Gentzen matrix. For  $x \in B^*$  and  $a \in B \cup \{\epsilon\}$ , define

$$[x; a] = \{w \in B^* : xw \preceq a\}.$$

Define an operation  $C$  on  $\wp(B^*)$  by :

$$C(X) = \bigcap \{[x; a] : X \subseteq [x; a] \text{ for } x \in B^* \text{ and } a \in B \cup \{\epsilon\}\}.$$

$C$  is a nucleus such that  $C(\{\epsilon\}) = B^*$ . Thus,  $\mathbf{C}_{B^*}$  is a complete  $\mathbf{FL}_{ew}$ -algebra, which is called the **quasi-completion** of the  $\mathbf{B}$ .

# Quasi-embeddings

To show that the Gentzen structure  $\mathbf{B}$  is **quasi-embeddable** into  $\mathbf{C}_{\mathbf{B}^*}$ , define a map  $k : B \rightarrow C(\wp(B^*))$ , called a **quasi-embedding**, by

$$k(a) = [\epsilon; a] = \{w \in B^* : w \preceq a\}.$$

Then we have the following.

Suppose that  $a, b \in B$  and that  $U$  and  $V$  are arbitrary  $C$ -closed subsets of  $B^*$  such that  $a \in U \subseteq k(a)$  and  $b \in V \subseteq k(b)$ . Then for each  $\star \in \{\wedge, \vee, \cdot, \rightarrow\}$ ,

$$a \star b \in U \star_C V \subseteq k(a \star b),$$

where  $\star_C$  denotes  $\cap, \cup_C, \ast_C$  and  $\Rightarrow$ , respectively.

# Proof of cut elimination — concluded

Suppose that  $g(s) \preceq g(t)$  is not true in  $\mathbf{B}$  by a valuation  $g$ . Define a valuation  $h$  on  $\mathbf{C}_{\mathbf{B}^*}$  by  $h(q) = k(g(q))$  for each variable  $q$ . Then, by using induction on the length of the term  $r$ , we can show the following.

$$g(r) \in h(r) \subseteq k(g(r)) \text{ for each term } r.$$

Now, suppose contrary that  $s \leq t$  holds in  $\mathbf{C}_{\mathbf{B}^*}$ . Then  $h(s) \subseteq h(t)$ . Using the above,

$$g(s) \in h(s) \subseteq h(t) \subseteq k(g(t)) = \{w : w \preceq g(t)\}.$$

But this implies  $g(s) \preceq g(t)$ , which is a contradiction. Thus,  $s \leq t$  is not valid in  $\mathbf{C}_{\mathbf{B}^*}$ .

# Beth definability property

**Beth definability property** (in the deductive form) (BDP)

A logic  $\mathbf{L}$  has the Beth definability property, if for any formula  $\alpha(\bar{x}_{m+1})$  and for all distinct variables  $y$  and  $z$  which are not members of  $\bar{x}_{m+1}$ ,

if  $\alpha(\bar{x}_m, y), \alpha(\bar{x}_m, z) \vdash_{\mathbf{L}} y \equiv z$  then there exists a formula  $\delta(\bar{x}_m)$  such that

- $\alpha(\bar{x}_m, y) \vdash_{\mathbf{L}} y \equiv \delta(\bar{x}_m)$ .

# Strong DIP

This notion suggests us a strong form of the DIP, called the SDIP.

A logic  $\mathbf{L}$  has the **strong deductive interpolation property** (SDIP), if for any set of formulas  $\Gamma \cup \Sigma \cup \{\psi\}$ , if  $\Gamma, \Sigma \vdash_{\mathbf{L}} \psi$  holds then there exists some  $\delta$  such that

- $\Gamma \vdash_{\mathbf{L}} \delta$  and  $\delta, \Sigma \vdash_{\mathbf{L}} \psi$ ,
- $Var(\delta) \subseteq Var(\Gamma) \cap Var(\Sigma \cup \{\psi\})$ .

# Equational IP

Another notion is the eqIP, an IP for *equational consequences*, introduced by A. Wroński.

A variety  $\mathbf{V}$  of FL-algebras has the **equational interpolation property** (eqIP), if for any set of **equations**  $G \cup E \cup \{\varepsilon\}$ , if  $G, E \models_{\mathbf{V}} \varepsilon$  holds then there exists a set of equations  $D$  such that

- $G \models_{\mathbf{V}} \delta$  for all  $\delta \in D$ , and  $D, E \models_{\mathbf{V}} \varepsilon$ ,
- $Var(D) \subseteq Var(G) \cap Var(E \cup \{\varepsilon\})$ .

# Algebraization

The **algebraization theorem** for **FL** enables us to transfer results on the deducibility relation  $\vdash_{\mathbf{L}}$  of a substructural logic **L** into those on the equational consequence  $\models_{\mathbf{V}}$  of the corresponding variety **V**, and vice versa.

In particular:

*A substructural logic **L** has the SDIP iff the variety  $\mathbf{V}(\mathbf{L})$  has the eqIP.*